

DAC  
#  
✓

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Daniell Stevens et al. Art Unit : 2641  
Serial No. : 09/845,769 Examiner : Unknown  
Filed : May 2, 2001  
Title : ERROR CORRECTION IN SPEECH RECOGNITION

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed June 22, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$740;
- ☒ Payment of the additional/multiple dependent claims fees of \$834;
- ☒ Payment of \$130 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ Payment of \$1960 for five-month extension of time;
- ☒ Petition Under 37 C.F.R. §1.47(a);
- ☒ Payment of \$130.00 for filing the petition under 37 C.F.R. § 1.47(a);
- ☒ Declaration under 37 C.F.R. §1.47; and
- ☒ Substitute Drawings in compliance with 37 CFR 1.84, Figs. 1-26.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: January 22, 2002

Diana DiBerardino  
Diana DiBerardino  
Reg. No. 45,653

Fish & Richardson P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331

40078752.doc

**RECEIVED**

JAN 25 2002

OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

#5



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,769	05/02/2001	Daniell Stevens	06998-074001

JOHN F. HAYDEN  
Fish & Richardson P.C.  
601 Thirteenth Street, NW  
Washington, DC 20005



CONFIRMATION NO. 4960

## FORMALITIES LETTER



\*OC000000006216799\*

Date Mailed: 06/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing ~~740.00~~ <sup>740.00</sup>.  
Applicant must submit \$740 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is ~~3834~~ <sup>846</sup>.
  - \$594 for 33 total claims over 20.
  - 252 ■ ~~\$240~~ for 3 independent claims over 3.
- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application number and filing date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is ~~\$4674~~ <sup>1716</sup>.

RECEIVED  
JAN 25 2002

OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/24/2002 6TEFFERA 00000014 09845769

01 FC:101	740.00 OP
02 FC:103	594.00 OP
03 FC:102	252.00 OP
04 FC:105	130.00 OP